

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/622,705
Filing Date	July 21, 2003
First Named Inventor	Michael Dean GATTEN
Art Unit	
Examiner Name	
Attorney Docket Number	0108

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,393,612 B1	05-28-2002	Thach	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/00048 A1	01-03-2002	DE RUYTER		
		WO 02/087369 A1	11-07-2002	GERARD		

Examiner
Signature

Date

Considered

2/5/04




*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/622,705
		Filing Date	July 21, 2003
		First Named Inventor	Michael Dean GATTEN
		Art Unit	
		Examiner Name	
Sheet 1	of 3	Attorney Docket Number	0108

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	GERARD, Claudia M., Spontaneous Arousals in Supine Infants While Swaddled and Unswaddled During Rapid Eye Movement and Quiet Sleep, Pediatrics, December 2002, Vol. 110, No. 6.	
	AB	THACH, Bradley Terrill, Causes of Sudden Infant Death Syndrome: Human and Animal Research, Web Page [Online], Washington University in St. Louis. Original publication date unknown [retrieved 9/27/2002]. Retrieved from the Internet. <URL: http://research.medicine.wustl.edu/ocfr/research.nsf/c517b7f273394130862567970055bf2/ee744c3f0ab9df148625677d005940df?OpenDocument >.	
	AC	GRANT, Ross, Swaddled Infants Sleep Better, Safer, Health Scout News. Web Page [Online], TeachMoreLoveMore website. December 6, 2002. Retrieved from the Internet. <URL: http://www.teachmorelovemore.org/ArticlesDetails.asp?articleid=4525 >.	
	AD	AUTHOR UNKNOWN, Swaddling may cut SIDS risk, Web Page [Online], Babycenter.com website. September 16, 2002, Retrieved from the Internet. <URL: http://www.babycenter.com/refcap/419.html >.	
	AE	GELLER, David, Should I swaddle my baby? Web Page [Online], Babycenter.com website. Original publication date unknown. Retrieved from the Internet. <URL: http://www.babycenter.com/expert/10010.html >.	
	AF	LIN-DYKEN, Deborah, What is "swaddling"? What are the pros and cons? Web Page [Online], Original publication date unknown. Retrieved from the Internet. <URL: http://www.babycenter.com/expert/7660.html >.	
	AG	THE BABY CENTER EDITORIAL STAFF, What does it mean to swaddle a baby?, Web Page [Online], Original publication date unknown. [retrieved 9/27/2002]. Retrieved from the Internet. <URL: http://www.babycenter.com/refcap/baby/newborns/125.html >.	
	AH	KNOSP, Laura K., Neonatal Pain and Touch, Web Page [Online], Original publication date unknown [retrieved 9/30/2002]. Retrieved from the Internet. <URL: http://www.nursing.uiowa.edu/sites/PedsPain/Nonpharm/Touchnt.htm >.	
	AJ	AUTHOR UNKNOWN, Swaddling Babies May Reduce Cot Death Risks, Web Page [Online], October 15, 2002. Retrieved from the Internet. <URL: http://www.babyworld.co.uk/news/Oct02/151002_cotdeath.asp >.	



Examiner Signature		Date Considered	2/5/04
--------------------	-------------------------------------------------------------------------------------	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/622,705
		Filing Date	July 21, 2003
		First Named Inventor	Michael Dean GATTEN
		Art Unit	
		Examiner Name	
Sheet 2 of 3	Attorney Docket Number	0108	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cita No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AK	AUTHOR UNKNOWN, Swaddling May Help Sleeping Babies Remain On Their Backs, Reducing Risk of Sudden Infant Death Syndrome, Web Page [Online], Healthworld Online. December 2, 2002. Retrieved from the Internet. <URL: http://www.sciencedaily.com/releases/2002/12/021202072217.htm >.	
	AL	AUTHOR UNKNOWN, Swaddling May Help to Prevent SIDS, Web Page [Online], Original publication date unknown [retrieved 9/27/2002]. Retrieved from the Internet. <URL: http://www.advanceformrc.com/MRdnw.html >.	
	AM	EZELL, Cheryl, SIDS: Sudden and Silent, Web Page [Online], KidsHealth Website. Original publication date unknown [retrieved 12/11/2002]. Retrieved from the Internet. <URL: http://ssw.unc.edu/fcrp/fp/fp_vol2no1/sudden_and_silent.htm >.	
	AN	SOBELLS, Laura, Comment for Auto-responder calming tips, E-mail response from www.calmbaby.com, November 3, 2002. Retrieved from the Internet. <URL: http://www.calmbaby.com >.	
	AP	AUTHOR UNKNOWN, Doctors at St. Louis Children's Hospital Suggest Swaddling To Prevent SIDS, Web Page [Online], St. Louis Children's Hospital Website. October 10, 2002. Retrieved from the Internet. <URL: http://www.stlouischildrens.org/articles/media.asp?ID=1028 >.	
	AQ	TURBO, Richard, Quieting Colic, Web Page [Online], Murphy Sam & Jodi website. Original publication date unknown [retrieved 9/30/2002]. Retrieved from the Internet. <URL: http://www.murphysamandjodi.com/content-quietingcolic.htm >.	
	AR	AUTHOR UNKNOWN, The Infant Swaddler, Web Page [Online], Comfort Silkie Store website. Original publication date unknown [retrieved 12/11/2002]. Retrieved from the Internet. <URL: http://www.newcenturystyle.com/comfortsilkie.htm >.	
	AS	AUTHOR UNKNOWN, NAME UNKNOWN, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: http://store/alpdev.com/store9/images/164.jpg >.	
	AT	AUTHOR UNKNOWN, NAME UNKNOWN, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: http://www.felogroup.com/eng/product/bd.htm >.	
	AU	AUTHOR UNKNOWN, CuddleBug Cloverleaf Blankets, Web Page [Online], Sweet Pea Company website. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: http://www.sweetpeacompany.com/html/cloverleafblankets.html >.	

Examiner Signature 	Date Considered 2/5/04
--------------------------------------------------------------------------------------------------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/622,705
Filing Date	July 21, 2003
First Named Inventor	Michael Dean GATTEN
Art Unit	
Examiner Name	
Attorney Docket Number	0108



Sheet

3

of

3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AV	AUTHOR UNKNOWN, Shop the Shops Online: Kidstuff: Infants and Toddlers, Web Page [Online], Shop the Shops website. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: http://www.shoptheshops.com?Deptsub KSI newbBurp.asp>.	
	AW	AUTHOR UNKNOWN, Silkie Swaddling Blanket, Web Page [Online], SilkieSmoother website. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: http://www.silkiesmoother.com/silkie swaddling blanket.html>.	
	AX	AUTHOR UNKNOWN, Cozy Swaddling Wrap, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: http://www.kozykidscompany.com/kozy wraps.html>.	
	AY	AUTHOR UNKNOWN, Swaddleme Blanket, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: http://www.greatbabyproducts.com/blanketswaddleopen.jpg>.	
	AZ	AUTHOR UNKNOWN, Huggabebe, Web Page [Online]. Original publication date unknown [retrieved 4/30/2002], Retrieved from the Internet. <URL: http://www.huggabebe.com>.	

Examiner
Signature

Date

Considered

2/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.